PEDNR WG – Proposed Recommendations

Level of consensus refers only to those on the specific teleconference.

#	Recommendation	Notes / Rationale	Туре	Proposed	Supported	Designation ⁱ
				by	Ву	
Charter Question 1 - Whether adequate opportunity exists for registrants to redeem their expired domain names						
1.	Guaranteed five-day registrar grace period	16/11/10: Consensus on	Consensus	James	RrSG (?)	
	(what to call it will need to be determined so	concept. No discussion on	Policy	Bladel		
	as to avoid confusion with similarly named	number of days. Important that				
	periods) following expiration. Only the RAE	auctions not be irreversible				
	can recover/renew name during this	while name is still guaranteed				
	period. While the name will not go to	as recoverable, but perhaps not				
	auction during this period, it could be	that a (reversible) auction is				
	explicitly deleted by the Registrar, which	started.				
	commences the RGP.					
		Discussion of number of days				
		deferred.				
2.	Idem as 1. but with [X] day guaranteed	16/11/10: Discussed in 1.	Consensus	RySG (TBC)		
	registrar grace period (TBC)		Policy			
3.	Adopt RGP as a consensus policy, but	16/11/10: Discussion deferred	Consensus	RySG (TBC)		
	allowing for exceptions (TBC)	until item 6.	Policy			
4.	Registrar must allow recovery of domain	16/11/10: Consensus. Wording	Consensus	Alan		
	name by the registrant of record prior to	of guaranteed recovery period	Policy	Greenberg		
	expiration (RAE).	should include this caveat.				
5.	Recovery as noted in recommendation 4	16/11/10: Discussion on	Consensus	Alan		
	should be allowed for at least a period of	number of days deferred.	Policy	Greenberg		
	thirty (30) days after expiration or until the					
	name is deleted, whichever comes first.					
6.	All Registrars must offer the RGP for gTLDs	"Offering the RGP" would be	Consensus	Alan		
	where the Registry offers it.	applicable only for those	Policy	Greenberg		
		domains that the registrar, for				
		whatever reason, DOES delete.				

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		16/11/10: Consensus. Item 4 (that changing WHOIS is not sufficient to disallow/deny recovery) must apply for RGP as well.				
7.	All unsponsored gTLD Registries shall offer the RGP. For currently existing gTLDs that do not currently offer the RGP, a transition period shall be allowed. All new gTLDs must offer the RGP. There could be an automatic exemption for TLDs that do not sell domains at all (what has been referred to in the VI group as SRSU).	16/11/10: Consensus pending confirmation from registries of the need for any other specific exemptions.	Consensus Policy	Alan Greenberg		
8.	All RAA provisions applicable to Registrars dealing with registrar-registrant interactions must be carried out by either the registrar or, at their option, by a reseller. In the latter case, Registrars are still responsible for any breaches.	The intent is that a registrar cannot relieve themselves of an RAA responsibility simply because it is delegated to a reseller (and possibly to multiple nested resellers). It is likely a fact of business law regardless of whether it is in the RAA, but it is important that it is explicit. The current RAA makes it clear for a specific list of Registrar responsibilities, but not for all that could be delegated.	Consensus Policy	Alan Greenberg		

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		16/11/10: Consensus. Language would certainly need to be				
Cha	 rter Question 2 - Whether expiration-related pr	tightened.	ements are cle	ar and consni	Lugus engugh	
9.	Registrars: Best practice recommendation: A registrar will design and host a neutral-content site with important information about how to properly steward a domain name and prevent unintended loss. Registrar should provide on its web site, and send to registrant in separate e-mail to registrant immediately following initial registration, a set of instructions for keeping domain name records current and for lessening the chance of mistakenly allowing the name to expire.	16/11/10: Discussion deferred. 23/11/10: Consensus regarding registrar proposal ALAC commitment	Best Practice	James Bladel	RrSG (?)	
	ALAC: Budget time/money/resources to public education campaign to encourage renewals and prevent unwanted loss of a name.					
10.	The registration agreement and registrar web site (if one is used) must clearly indicate what methods will be used to deliver preand post-expiration notifications.	The policy/RAA would not prescribe specific notification methods, but the agreement must alert the registrant to what methods will be used (as a minimum). 16/11/10: Consensus.	Consensus Policy	Alan Greenberg		

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	Discussion of how to offoctive		Бу	Бу	
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The price charged for post-expiration		Consensus	Δlan		
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notices are provided.					
	requirement.				
	For the RGP price in the current				
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	•				
	7				
	The price charged for post-expiration recovery must be explicitly stated in the registration agreement or on the Registrar's web site (if any). This price must also be provided to the RAE at registration time and when pre-and post-expiration renewal notices are provided.	Discussion of how to effective this will be and also how a registrant can ensure that registrar messages are not treated as spam. The price charged for post-expiration recovery must be explicitly stated in the registration agreement or on the Registrar's web site (if any). This price must also be provided to the RAE at registration time and when pre-and post-expiration renewal Discussion of how to effective this will be and also how a registrar teat that the price displayed as the then-current price. Although any registrar is welcome to guarantee that this price will be honored when the domain expired in 10 years, that	Discussion of how to effective this will be and also how a registrant can ensure that registrar messages are not treated as spam. The price charged for post-expiration recovery must be explicitly stated in the registration agreement or on the Registrar's web site (if any). This price must also be provided to the RAE at registration time and when pre-and post-expiration renewal notices are provided. The intent here is that the price displayed is the then-current price. Although any registrar is welcome to guarantee that this price will be honored when the domain expired in 10 years, that was not the intent of this requirement. For the RGP price in the current RAA, it says: "3.7.5.6 if Registrar operates a website for domain registration or renewal, it should state, both at the time of registration and in a clear place on its website, any fee charged for the recovery of a domain name during the Redemption Grace Period." I have not heard about any confusion regarding this, so presume similar wording can be used for the pre-delete recovery price with registrars	Discussion of how to effective this will be and also how a registrant can ensure that registran messages are not treated as spam. The price charged for post-expiration recovery must be explicitly stated in the registration agreement or on the Registrar's web site (if any). This price must also be provided to the RAE at registration time and when pre-and post-expiration renewal notices are provided. The intent here is that the price displayed is the then-current price. Although any registrar is welcome to guarantee that this price will be honored when the domain expired in 10 years, that was not the intent of this requirement. For the RGP price in the current RAA, it says: "3.7.5.6 if Registrar operates a website for domain registration or renewal, it should state, both at the time of registration and in a clear place on its website, any fee charged for the recovery of a domain name during the Redemption Grace Period." I have not heard about any confusion regarding this, so presume similar wording can be used for the pre-delete recovery price with registrars	Discussion of how to effective this will be and also how a registrar can ensure that registrar messages are not treated as spam. The price charged for post-expiration recovery must be explicitly stated in the registration agreement or on the Registrar's web site (if any). This price must also be provided to the RAE at registration time and when pre-and post-expiration renewal notices are provided. For the RGP price in the current RAA, it says: "3.7.5.6 If Registrar operates a website for domain registration or renewal, it should state, both at the time of registration and in a clear place on its website, any fee charged for the recovery of a domain name during the Redemption Grace Period." I have not heard about any confusion regarding this, so presume similar wording can be used for the pre-delete recovery price with registrars

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		are necessary to that they are		•	•	
		not unreasonably setting				
		registrant expectations.				
		16/11/10: Consensus				
12.	In the event that ICANN gives reasonable	16/11/10: Consensus	Consensus	Alan		
•	notice to Registrar that ICANN has published		Policy	Greenberg		
	web content providing educational materials					
	with respect to registrant responsibilities					
	and the gTLD domain life-cycle, and such					
	content is developed in consultation with					
	Registrars, Registrars who have a web					
	presence must point to it.					
Char	ter Question 3 - Whether adequate notice exis	ts to alert registrants of upcoming	expirations			
13.	Requirement to send (by a method at each	16/11/10: Discussed with 14.	Consensus	James	RrSG (?)	
	registrar's discretion) a minimum of one		Policy	Bladel		
	renewal notice to registrant no later than 10					
	days prior to expiry, and a second notice the					
	day prior to the expiry date notifying the					
	RAE that the 5-day registrar grace period will					
	begin the following day.					
14.	Registrar must send at least two renewal	16/11/10: Consensus on	Consensus	Alan		
	notifications alerting the registrant to the	principle. Clearly timing needs	Policy	Greenberg		
	upcoming expiration. [Exceptions allowed –	to be further discussed. Among				
	see below] If only two renewal notifications	other issues, the suggested				

¹ For all provisions where Exceptions are allowed, the Registrar may submit a request to ICANN to substitute some other mechanism instead of the one(s) specified, and must demonstrate how this alternative mechanism will provide at least the same protection while better fitting to the Registrar's business model or services. Such requests will be reviewed by an impartial panel (similar to that provided with the Registry RSTEP process, or perhaps even the same panel) and shall be acted upon in a timely manner by ICANN.

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				by	Ву	
	are sent, one must be sent one month prior	timing presumes an annual (or				
	to expiration (±4 days) and one must be sent	multiple annual) form of				
	one week prior to expiration (±3 days). If	registration.				
	more that two alert notifications are sent,					
	the timing of two of them must be	23/11/10: Consensus but				
	comparable to the timings specified.	possible modification of exact				
	[Exceptions allowed – see footnote 1]	timing.				
15.	Modify WHOIS to clearly indicate whether a	If adopted, it would require	Consensus	Alan		
	domain has been renewed from a	significant phase-in time which	Policy	Greenberg		
	registrant:registrar point of view.	should not impact the schedule				
	Specifically, it should clearly identify a	of the other items.				
	domain in the Auto Renew Grace Period					
	which has not been explicitly renewed by	16/11/10: General consensus				
	the registrant. This should apply both to	that this is a good target.				
	registries as well as registrars.	Possible that it should be				
		deferred to some other WHOIS				
		implementation or discussion.				
		Deferred for the moment.				
		23/11/10: Needs further				
		discussion with Registries to				
		assess difficulty.				
	ter Question 4 - Whether additional measures	•				
Grac	e Period, it has expired (e.g., hold status, a not	ice on the site with a link to inform	nation on how	to renew, or o	ther options to	be be
dete	rmined);					
16.	At least one notification must be sent to the	<u>16/11/10: Some consensus.</u>	Consensus	Alan		
	registrant after expiration. {The timing to be	Would only apply if the	Policy	Greenberg		
	specified.} [Exceptions allowed] If	registration has not been				
	notifications are normally sent to a point of	<u>deleted.</u>				

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	contact using the domain in question, and delivery had been interrupted by post-expiration actions, post-expiration notifications must be sent to some other contact point associated with the registrant if one exists. At least one notification must be sent to the registrant after expiration. {The timing to be	Need to explore Michele's objection. Jeff needs to think about it. More discussion needed. 23/11/10: Split into two proposals 30/11/10:				
	specified.} [Exceptions allowed] If post-expiration notifications are normally sent to a point of contact using the domain in question, and delivery had been interrupted by post-expiration actions, post-expiration notifications must be sent to some other contact point associated with the registrant if one exists.	30/11/10:				
17.	Notifications must include "push" methods in addition to any "pull" methods - not solely be via methods that require logging into the registrar's system to retrieve them (ie the Registrar's Domain Management Panel).	Although one could debate whether e-mail is truly "push" since typically you need to fetch your mail from a server, the intent here was to include e-mail as an acceptable push method. 16/11/10: Consensus	Consensus Policy	Alan Greenberg		
18.	Web site or sites reached via the domain name must not longer be reachable through	Note that this item alters the intent of the Autorenew Grace	Consensus Policy	Alan Greenberg		

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	the use of the domain name within 3-5 days after expiration. [Exceptions allowed – see footnote 1]	Period, but it is exactly what most Registrars do today, and there seems to be widespread belief that this is the only relatively fail-safe method of catching the RAE's attention. The exception process will allow Registrars with other business models and other notification methods to carry on their businesses without change. 16/11/10: Consensus				
19.	All non-web services must cease to function within 3-5 days after expiration. [Exceptions allowed – see footnote 1]	16/11/10: Consensus	Consensus Policy	Alan Greenberg		
20.	If registrar allows any web access to the domain name after the "disable" date, the page shown must explicitly say that the domain has expired and give instructions to the RAE on how to recover the domain.	16/11/10: Consensus. Language needs refinement, specifically regarding is this text, link, whatever. Many registrars already do this so there is a body of knowledge to draw upon.				
Char	ter Question 5 - Whether to allow the transfer	of a domain name during the RGP	<u> </u>			
Gene	eral / Other					
21.	a. The Registrar community can develop these Best Practices including some methodology which will give registrars an	16/11/10: Discussion deferred 23/11/10: Consensus – discard	Best Practices	Alan Greenberg		

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	incentive to implement them if applicable. or	option b.		~,		
	b. ICANN can develop these Best Practices	23/11/10: Some Registrar				
	and provide a financial incentive to registrars who follow them.	interest in option a.				
	These are not meant to be verbatim Best					
	Practices but will serve as a basis for their full development.					
	= Issue a warning if contact addresses use					
	the domain being registered / renewed / updated.					
	= Expiration-related provisions of					
	registration agreement clear and					
	understandable by a non-lawyer, non-domain-professional.					
	= Provide notification of impact of not					
	having sufficient accurate contact					
	information at time of registration, renewal,					
	ICANN-mandated Whois update notice.					
	Require positive acknowledgement if via					
	web. = Request at least two different modes of					
	contact information (example: e-mail and					
	SMS) with explanation why two are needed.					
22.	Renaming the Autorenew Grace Period to	16/11/10: Needs further	Clarification	Alan		
	make it clear that it is not the Automatic	discussion.		Greenberg		
	Renewal option offered by some Registrars					
	to registrants.	23/11/10: General agreement				

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				by	Ву	
		that it is a good idea, but first				
		discuss with Registries.				

Exceptions: The exact mechanism to be discussed. Does request/approval need to be public? Further discussion clearly needed.

In producing the WG report, the chair will be responsible for designating each position as having one of the following designations:

Unanimous consensus position

Rough consensus position - a position where a small minority disagrees but most agree

Strong support but significant opposition

Minority viewpoint(s)

ⁱ From the PEDNR WG Charter: