Working Group Approach

Orange = Whole WG
Yellow = Sub-Team
Green = Task identified in the Charter
Blue = Task identified by the work plan sub-team

Deliverable 0A: Develop working definitions of the main terms [1]
- Identify which terms need definitions and establish working definitions for WG use
- Consider existing / other state of the art terms

Deliverable 0B: A set of [working] principles that would underpin any GNSO policy and implementation related discussions, taking into account existing GNSO Operating Procedures. (Charter Question 1) [1]
- What guidance do ICANN core values (Bylaws Article 1, Section 2) directly provide with regard to policy development work and policy implementation efforts? (e.g., multi-stakeholder participation) (A1)
- What guidance do other ICANN Core values provide that relate *indirectly to* policy development and policy implementation? (e.g., effective & timely processes) (B2)
- Questions for discussion' contained in the Policy versus Implementation Draft Framework prepared by ICANN staff (http://www.icann.org/en/news/public-comment/policy-implementation-31jan13-en.htm) (C3)
- Review GNSO WG Guidelines and PDP Manual to identify possible existing principles (explicitly or implicitly)
- Review proposed principles in staff discussion paper

Deliverable I: A process for developing gTLD policy, perhaps in the form of "Policy Guidance", including criteria for when it would be appropriate to use such a process (for developing policy other than "Consensus Policy") instead of a GNSO Policy Development Process. [2] (Charter Question 2)
- Review mechanisms that the GNSO has used to date to develop policy / advice outside of the PDP (e.g. STI, SCI) and what lessons can be learned from those?
- Review draft process outlined in Staff Discussion Paper
- Review 'Questions for discussion' contained in the Policy versus Implementation Draft Framework prepared by ICANN staff (http://www.icann.org/en/news/public-comment/policy-implementation-31jan13-en.htm (A3)
- What lessons can be learned from past experience?
  a. What are the consequences of an action being considered "policy" vs. "implementation"?
  b. Why does it matter if something is "policy" or "implementation"?
  c. Under what circumstances, if any, may the GNSO Council make recommendations or state positions to the Board on matters of policy and implementation as a representative of the GNSO as a whole?
  d. How do we avoid the current morass of outcome-derived labeling (i.e., I will call this policy because I want certain consequences/"handling instructions" to be attached to it)?
  e. Can we answer these questions so the definitions of "policy" and "implementation" matter less, if at all?
  (B4)
- What options are available for policy ("Consensus Policy" [2] or other) and implementation efforts and what are the criteria for determining which should be used?
  a. Are policy and implementation on a spectrum rather than binary?
  b. What are the flavors of "policy" and what consequences should attach to each flavor?
  c. What happens if you change those consequences? (C5)

Deliverable II: A framework for implementation related discussions associated with GNSO Policy Recommendations (Charter Question 3).
Deliverable III: Criteria to be used to determine when an action should be addressed by a policy process and when it should be considered implementation. (Charter Question 4)
Deliverable IV: Guidance on how GNSO Implementation Review Teams, as defined in the PDP Manual, are expected to function and operate. (Charter Question 5) [3]
- What lessons can be learned from past experience? (A4)
  a. What are the consequences of an action being considered "policy" vs. "implementation"?
  b. Why does it matter if something is "policy" or "implementation"?
  c. Under what circumstances, if any, may the GNSO Council make recommendations or state positions to the Board on matters of policy and implementation as a representative of the GNSO as a whole?
  d. How do we avoid the current morass of outcome-derived labeling (i.e., I will call this policy because I want certain consequences/"handling instructions" to be attached to it)?
  e. Can we answer these questions so the definitions of "policy" and "implementation" matter less, if at all? (A4)
- What options are available for policy ("Consensus Policy" [2] or other) and implementation efforts and what are the criteria for determining which should be used? (B5)
  a. Are policy and implementation on a spectrum rather than binary?
  b. What are the flavors of "policy" and what consequences should attach to each flavor?
  c. What happens if you change those consequences? (B5)
- Who determines the choice between whether something is policy or implementation? (C6)
  a. How is policy set/recommended/adopted and do different paths lead to different "flavors"?
  b. Who makes these determinations and how?
  c. How are the policy vs implementation decisions reviewed and approved?
  d. What happens if the reviewing bodies come to a deadlock? (C6)
- What is the process by which this identification, analysis, review and approval work is done? (D7)
  a. How are "policy and implementation" issues first identified (before, during and after implementation)?
  b. What is the role of the GNSO in implementation?
  c. In order to maintain multi-stakeholder processes, once policy moves to implementation how should the community be involved in a way that is meaningful and effective?
  d. Should policy staff be involved through the implementation process to facilitate continuity of the MSM process that already occurred? (D7)

Deliverable V: Finalize set of principles that would underpin any GNSO policy and implementation related discussions, taking into account existing GNSO Operating Procedures. (Charter Question 1) [4]
- Review Deliverable 0B (working principles)
- Review Deliverables I-IV and review how additional principles may be distilled / modified from these to be included in final set of principles