

Questions & Responses

#	Comment	Contributor	WG Response / Action Taken
<p>Section Summary: ICANN received questions seeking clarification of aspects of the FY17 planning documents published on 5 March 2016. Responses <a href="#">were published</a> on 26 April 2016. A further set of questions seeking clarification was received on 29 April 2016 and two errors were noticed in the original set of answers. This document presents corrections to the two erroneous answers and also answers the question asked on 29 April.</p>			
<p>1.</p>	<p><b>Referring to: 7.12 – 3.2 Ensure Structured coordination of ICANN’s technical resources</b>                      Here is the description of Portfolio 3.2.3 Root Systems Operations on page 55: “Facilitating the continued evolution of the root server system to ensure its ongoing security, stability, and resiliency as DNS technology and operations change over time: maintenance of relationships with the Root Server Operators, RSSAC, and related stakeholders.” Is this portfolio above and beyond the PTI budget and operational expenses for the number and protocol IANA services?</p>	<p>CWG- Stewardship</p>	<p><b>Original Response:</b> No, it portfolio 3.2.3 is included in the IANA/PTI functions.</p> <p><b>Updated Response:</b> 3.2.2 is for the provision of the L-root service (<a href="http://www.dns.icann.org/lroot/">http://www.dns.icann.org/lroot/</a>) and maintaining the relationship with other Root Server Operators. It is not part of delivering the IANA Functions.</p>

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2.	<p><b>Referring to: Planned staffing numbers</b>  Note a discrepancy on p.10 of budget that shows no net increase at 30 FTE at \$10.5M from FY17 to FY16 Forecast. However, project level spreadsheet shows 33.2 FTE. Note also that the project level shows a total of \$10.7M. Approved FY16 Budget Summary did show 29FTE, so if 33.2 FTE is the accurate number and not the summary number, this equates to 3.2 FTE increase for FY17. Please confirm what the total planned FTE is for Goal 1.3.</p> <p><b>Figures 1 and 2 below this table were provided with this question.</b></p>	Several GNSO community members	<p><b>Original Response:</b>  The information in the ICANN Operations Resource Utilization on page 10 of the draft FY17 Operating Plan &amp; Budget shows the draft FY17 budget by organizational group (departments) while the draft budget by ICANN’s Management System shows the budget from a cross-functional perspective by Objective, Goal, Portfolio, and Project. The draft budget for the SO/AC Engagement and Policy Development group is US\$10.5M with 10 FTEs, which is allocated across multiple goals, portfolios and projects. The draft budget for Goal 3.1 Evolve policy development and governance processes, structures and meetings to be more accountable, inclusive, efficient, effective and responsive is US\$10.7M with 33.2 FTEs, which represents an allocation of costs from multiple ICANN groups (e.g., Policy, Travel Support, Multistakeholder Strategy &amp; Strategic Initiatives, etc.).</p> <p><b>Updated Response:</b>  The information in the ICANN Operations Resource Utilization on page 10 of the draft FY17 Operating Plan &amp; Budget shows the draft FY17 budget by organizational group (departments) while the draft budget by ICANN’s Management System shows the budget from a cross-functional perspective by Objective, Goal, Portfolio, and Project. The draft budget for the SO/AC Engagement and Policy Development group is US\$10.5M with 30 FTEs, which is allocated across multiple goals, portfolios and projects. The draft budget for Goal 1.3 Evolve policy development and governance processes, structures and meetings to be more accountable, inclusive, efficient, effective and responsive is US\$10.7M with 33.2 FTEs, which represents an allocation of costs from multiple ICANN groups (e.g., Policy, Travel Support, Multistakeholder Strategy &amp; Strategic Initiatives, etc.).</p>

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3.	Are there Nomcom-related expenses contained elsewhere in the 5-year plan?	Tom Barrett	<p>In FY17, the majority of NomCom expenses are budgeted under project 121571 2016 - 2017 NomCom Operation Selection Process. The draft budget amount for this project is \$940K and includes travel, meeting space rental and catering, outreach materials and events, candidate recruitment and assessment, training, due diligence consulting, and other associated costs.</p> <p>In addition, other costs associated with ICANN support to NomCom efforts have been categorized under other the following projects. These costs total to less than \$100K.</p> <ul style="list-style-type: none"> <li>• Project 124308 Digital Services - Community Services for IT staff support in updating the online NomCom application form for each new NomCom cycle</li> <li>• Projects 125391 FY17 Communications, Content Management and 125388 FY17 Communications, Social Media for ICANN staff support for social media support and graphic design</li> <li>• Project 124216 On-going Language Services Support (All Services) - FY17 for transcription/ translation/etc. of NomCom meetings</li> <li>• Projects 19900 - ICANN 57, 19902 ICANN 58 and 20404 ICANN 59 for NomCom staff support travel</li> <li>• Project 124181 Travel Support for ICANN 57 for NomCom selectees travel to ICANN meeting C</li> </ul>
4.	Does the proposed NomCom annual budget change at all over the five years of this plan?	Tom Barrett	<p>The structure of the NomCom annual budget remains relatively consistent each NomCom cycle. Because each NomCom term begins three to four months after the budget is approved, the NomCom budget only includes known/recurring expenses such as travel, meeting space rental and catering, outreach materials and events, candidate recruitment and assessment, etc. The budget amounts for these activities are adjusted annually to reflect inflationary increases and price differences associated with changing meeting locations.</p>

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5.	Would it be possible for you to provide me with a comparison of this proposed NomCom annual budget to previous years?	Tom Barrett	The NomCom budget has grown consistently over prior years. The draft FY17 budget is \$1M. The FY16 adopted budget was \$900K. The FY16 budget can be found at: <a href="https://www.icann.org/en/system/files/files/adopted-opplan-budget-project-fy16-25jun15-en.pdf">https://www.icann.org/en/system/files/files/adopted-opplan-budget-project-fy16-25jun15-en.pdf</a>
6.	Who is responsible within the ICANN universe for submitting the line items for the NomCom?	Tom Barrett	The NomCom staff support, prepares the budget for the NomCom. NomCom staff support works with NomCom Leadership on new scope requested by the NomCom to determine if additional funding is necessary.

# Figures

ICANN Operations by Function	FY17 Draft Budget					FY16 Forecast					Increase / (Decrease)				
	In Millions, USD	Baseline	Multyear	Total	Avg. EOY	Baseline	Multyear	Total	Avg. EOY	Baseline	Multyear	Total	Avg. EOY		
			Projects	Hdct	Hdct	Hdct		Projects	Hdct	Hdct		Projects	Hdct	Hdct	
Support and Revenue	\$132.4		\$132.4			\$120.8		\$120.8		\$11.6		\$11.6			
Compliance & Safeguards	\$5.4	\$0.0	\$5.4	26.0	27	\$5.3	\$0.0	\$5.3	24.0	26	\$0.1	\$0.0	\$0.1	2.0	2
GDD, DNS, IANA, Ops	20.4	0.0	20.4	80.4	80	18.1	0.0	18.1	65.6	74	2.3	0.0	2.3	14.8	7
CTO	6.0	0.0	6.0	13.9	14	5.1	0.0	5.1	12.5	15	0.9	0.0	0.9	1.4	(1)
Strat. Initiatives & Reviews	3.8	1.7	5.5	10.1	10	3.0	0.0	3.0	5.5	8	0.8	1.7	2.5	4.6	3
Strategic Communications	9.5	0.0	9.5	25.0	25	8.1	0.0	8.1	20.7	22	1.4	0.0	1.4	4.3	3
GSE and Meetings Ops	14.9	0.6	15.5	44.0	44	14.6	0.0	14.6	40.0	41	0.3	0.6	0.9	4.0	3
Gov Engagement	2.8	0.0	2.8	7.0	7	2.6	0.0	2.6	6.0	7	0.2	0.0	0.2	0.1	0
SO/AC Policy and Eng.	10.5	0.0	10.5	30.0	30	9.4	0.3	9.7	28.5	30	1.1	(0.3)	0.8	1.5	-
Public Responsibility	2.7	0.0	2.7	6.0	6	2.5	0.0	2.5	4.0	4	0.2	(1.7)	0.8	1.0	-
Governance support	11.7	0.0	11.7	21.9	22	10.1	0.0	10.1	16.4	19	1.6	0.0	1.6	5.5	3
Operations	16.7	0.4	17.1	45.0	45	16.3	0.0	16.3	36.7	41	0.4	0.4	0.8	8.3	4
IT & Cyber Security	21.1	2.5	23.6	60.1	60	20.1	2.5	22.6	48.9	54	1.0	0.0	1.0	11.1	6
Executive & Ombudsman	2.4	0.0	2.4	4.0	4	2.8	0.0	2.8	4.0	4	(0.4)	0.0	(0.4)	-	-
New gTLD Allocation	(5.1)	0.0	(5.1)			(7.8)	0.0	(7.8)	-	-	2.7	0.0	2.7	-	-
Corporate	(1.5)	0.0	(1.5)	(8.9)	(9)	0.0	0.0	0.0	-	-	(1.5)	0.0	(1.5)	(8.9)	(9)
Contingency	5.0	0.0	5.0			1.2	0.0	1.2	-	-	3.8	0.0	3.8	-	-
<b>Total Baseline Opex (Cash)</b>	<b>\$126.5</b>	<b>\$5.9</b>	<b>\$132.4</b>	<b>366.5</b>	<b>367</b>	<b>\$109.3</b>	<b>\$5.3</b>	<b>\$114.6</b>	<b>316.7</b>	<b>347</b>	<b>\$17.2</b>	<b>\$0.6</b>	<b>\$17.8</b>	<b>49.8</b>	<b>20</b>
<b>Excess/(Deficit)</b>	<b>\$5.9</b>	<b>(\$5.9)</b>	<b>\$0.0</b>			<b>\$11.5</b>	<b>(\$5.3)</b>	<b>\$6.2</b>							

Figure 1 – First annotated chart referred to in question 2

## FY17 Draft Budget By Portfolio and Project

### 1-Evolve and further globalize ICANN

Goal	Portfolio	Project ID	Project Name	Project Description	FY17*	Personnel	Travel & Meetings	Profess.	Admin.	Capital	Total	
1.1 Evolve and further globalize ICANN	1.1.1 Support Policy Development, Policy Review and Address Allocation	12488	SO/AC Policy Development Support, FY17	Policy development support for the SO/AC Address Council	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12489	SO/AC Policy Support Program, FY17	General Program Management for the SO/AC and its large Administration and management of all core internal management operations and related efforts for the program (e.g., Finance, HR, etc.) This project is budgeted for all management operations and related efforts for the program	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12490	Policy General Management Administration, FY17	Policy General Management Administration	1.1	0.0	0.0	0.0	0.0	0.0	0.0	1.1
		12491	General support costs and other community FY17	General support costs and other community FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
		12492	FY17 SO/AC Additional Budget Requests	SO/AC Additional Budget Requests	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
		12493	Travel Support for ICANN 57	Travel support for ICANN 57	26.9	0.0	0.0	0.0	0.0	0.0	0.0	26.9
		12494	Travel Support for ICANN 58	Travel support for ICANN 58	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.1
		12495	Travel Support for ICANN 59	Travel support for ICANN 59	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.1
		12496	SO/AC GDD/CTO and FY Meetings, FY17	SO/AC GDD/CTO and FY Meetings, FY17	SO/AC GDD/CTO and FY Meetings, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0
		12497	SO/AC GDD/CTO Development Sessions, FY17	SO/AC GDD/CTO Development Sessions, FY17	SO/AC GDD/CTO Development Sessions, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0
		12498	SO/AC International Mtg for GDD/CTO, FY17	SO/AC International Mtg for GDD/CTO, FY17	SO/AC International Mtg for GDD/CTO, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0
		12499	Public Input, Management and Improvements, FY17	Public Input, Management and Improvements, FY17	Public Input, Management and Improvements, FY17	0.1	0.0	0.0	0.0	0.0	0.0	0.1
		12500	SO/AC Program Management, FY17	SO/AC Program Management, FY17	SO/AC Program Management, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0
1.2 Address Stakeholder Effectiveness	1.2.1 Address Stakeholder Effectiveness	12501	SO/AC Communications Management and Support, FY17	SO/AC Communications Management and Support, FY17	0.1	0.0	0.0	0.0	0.0	0.0	0.1	
		12502	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12503	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
1.3 Evolve and further globalize ICANN	1.3.1 Evolve and further globalize ICANN	12504	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12505	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12506	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
		12507	SO/AC GDD/CTO Support Program, FY17	SO/AC GDD/CTO Support Program, FY17	0.0	0.0	0.0	0.0	0.0	0.0	0.0	

Figure 2 – Second annotated chart referred to in question 2